Introduction to Rocket Fund & FAQs

Year Founded
2015

Award Tiers
$25K, $50K, $75K

Total Amount Awarded to Date
$1.325M

No. of Awardees to Date
33

No. of Awardees to Receive $1m+ of Additional Funding
9

FREQUENTLY ASKED QUESTIONS

How does Rocket Fund work?
- Membership-driven granting organization
- Rocket Fund members leverage their funds to provide non-equity grants for developing minimum commercial prototypes (MCP) that allow awardees to validate their product with customers

What are Rocket Fund’s areas of technology focus?
- Wide-ranging cleantech and sustainability
- Members direct specific priorities each year

What does Rocket Fund do for members?
- Discovery: Finding vetted, viable, relevant technologies and teams
- Funding vehicle: A mechanism to fund early-stage companies
- Relationships: With professionals who have similar goals

How does Rocket Fund make a difference?
- Rocket Fund accelerates fragile startups to commercial success and financial independence, thereby
  - Enhancing industry partners’ pipeline of vetted, viable, and relevant clean technologies
  - Equipping emerging business leaders with needed funding and expertise at a critical phase of development
  - Companies attract further financing (e.g., investment, government grants)

Where is Rocket Fund sourcing technologies?
- Over 30 universities, including Stanford, Berkeley, Princeton, Harvard, Northwestern University, the University of California system, Cal State
- Other financing programs, IN2 Wells Fargo, Shell GameChanger and CalSEED
- DOE national labs, including National Renewable Energy Laboratory

What are some examples of previous Rocket Fund awardees?

Who is Rocket Fund?
A private-public consortium shaping the future of cleantech and sustainability.

OUR MEMBERS

Want to learn more about how Rocket Fund is increasing our impact with a growing group of committed members?

Contact Stephanie Yanchinski: Stephanie.Yanchinski@caltech.edu
# Membership Levels and Benefits

<table>
<thead>
<tr>
<th>Benefits</th>
<th>Affiliate Membership $5,000*</th>
<th>Full Membership $20,000*</th>
<th>Club Membership $50,000*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Early sight of vetted, viable, relevant technologies and trends</td>
<td>●</td>
<td>●</td>
<td>●</td>
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<tr>
<td>Quality business leads: 70+ cleantech companies annually</td>
<td>●</td>
<td>●</td>
<td>●</td>
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<tr>
<td>Participation on Technology Advisory Committee</td>
<td>●</td>
<td>●</td>
<td>●</td>
</tr>
<tr>
<td>Leveraged financial support for small companies of strategic interest</td>
<td>●</td>
<td>●</td>
<td>●</td>
</tr>
<tr>
<td>Mentoring Opportunities, member selection</td>
<td>●</td>
<td>●</td>
<td>●</td>
</tr>
<tr>
<td>Rocket Fund Membership Board Seat</td>
<td>●</td>
<td>●</td>
<td>●</td>
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<tr>
<td>Participation on Awards Review Panel</td>
<td>●</td>
<td>●</td>
<td>●</td>
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<tr>
<td>National promotion as “green” partner to 1,000+ network</td>
<td>●</td>
<td>●</td>
<td>●</td>
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<tr>
<td>Association with California’s major utilities, business and academic cleantech leaders</td>
<td>●</td>
<td>●</td>
<td>●</td>
</tr>
<tr>
<td>Membership Board Participation</td>
<td>N/A</td>
<td>1</td>
<td>3</td>
</tr>
</tbody>
</table>

**Concierge Service**

- Deep due diligence on candidates of your choice (TED)
- Facilitated meetings with awardees of your choice
- Grant partnership opportunities
- Technology-specific tracks, aligned with member requests

* Annual fee