



Fast. Flexible. Cleantech Funding.

Introduction to Rocket Fund & FAQs

Year Founded

2015

Award Tiers

\$25K - \$100K

Total Amount Awarded to Date

\$1.875M

No. of Awardees to Date

48

No. of Awardees to Receive \$1m+ of Additional Funding

9

ROCKET FUND

Earle M. Jorgensen Laboratory, Rm 141
1200 California Blvd. East M/C 132-80
Pasadena, CA 91125
Tel. 626.395.1968

www.flow.caltech.edu/rocket-fund

FREQUENTLY ASKED QUESTIONS

How does Rocket Fund work?

- Membership-driven granting organization
- Rocket Fund members leverage their funds to provide non-equity grants for developing minimum commercial prototypes (MCP) that allow awardees to validate their product with customers

What are Rocket Fund's areas of technology focus?

- Wide-ranging cleantech and sustainability
- Members direct specific priorities each year

What does Rocket Fund do for members?

- **Discovery:** Finding vetted, viable, relevant technologies and teams
- **Funding vehicle:** A mechanism to fund early-stage companies
- **Relationships:** With professionals who have similar goals

How does Rocket Fund make a difference?

- Rocket Fund accelerates fragile startups to commercial success and financial independence, thereby
 - Enhancing industry partners' pipeline of vetted, viable, and relevant clean technologies
 - Equipping emerging business leaders with needed funding and expertise at a critical phase of development
 - Companies attract further financing (e.g., investment, government grants)

Where is Rocket Fund sourcing technologies?

- **Over 30 universities**, including Stanford, Berkeley, Princeton, Harvard, Northwestern University, the University of California system, Cal State
- **Other financing programs**, IN2 Wells Fargo, Shell GameChanger and CalSEED
- **DOE national labs**, including National Renewable Energy Laboratory

What are some examples of previous Rocket Fund awardees?



Who is Rocket Fund?

A private-public consortium shaping the future of cleantech and sustainability.

OUR MEMBERS





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Membership Benefits

- Join elite group of industry movers
- Pool of vetted, leading edge technologies
- Leveraged funding for fast moving companies of interest to you
- Investment prospects
- Foster your green signature and world

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VALUE PROPOSITION

The Rocket Fund thrives as an organization driven by its elite members and their needs.

The Rocket Fund broadens and deepens members' pool of vetted, viable, relevant technologies and teams, which may be expensive and difficult to find. Members leverage Rocket Fund grants to support desired companies strategic to their business. As part of the Rocket Fund network, members share ideas and connections with professionals who have similar goals.

MEMBERSHIP LEVELS

Members can select Club, Full or Affiliate Membership.

(See back page for annual membership levels and specific benefits.)

OUR MEMBERS



Creating Value With Best Minds In The World



MEMBERSHIP AGREEMENT

This is non-legally binding but establishes an expected commitment, pending annual performance reviews and funding availability. This sustaining membership helps support the Fund at a level commensurate with achieving the agreed goals.



MEMBERSHIP LEVELS AND BENEFITS

BENEFITS	AFFILIATE MEMBERSHIP \$5,000*	FULL MEMBERSHIP \$20,000*	CLUB MEMBERSHIP \$50,000*
Early sight of vetted, viable, relevant technologies and trends	•	•	•
Qualified business leads: 80+ cleantech companies annually		•	•
Participation on Technology Advisory Committee		•	•
Leveraged financial support for small companies of strategic interest	•	•	•
Mentoring Opportunities, member selection		•	•
Rocket Fund Membership Board Seat		•	•
Participation on Final Awards Review Panel		•	•
National promotion as “green” partner to 1,000+ network	•	•	•
Association with California’s major utilities, business, government, investor and academic cleantech leaders	•	•	•
Concierge Service			
Deep due diligence on candidates of your choice			•
Facilitated meetings with awardees of your choice			•
Grant partnership opportunities		•	•
Technology-specific solicitation requests		•	•

* Annual fee

MEMBERSHIP TRACKS

Rocket Fund awards fall into both cleantech and sustainability.

Members may select one or both for participation, as reflected by their organization’s strategic goals.

“**Cleantech**” targets the technology priorities pursued by utility partners and other industry leaders (e.g. energy efficiency, demand response, data aggregation and grid management, and energy storage, and highlighted by California Energy Commission programs such as EPIC and CalSEED).

“**Sustainability**” broadens the scope to embrace renewable energy, carbon dioxide mitigation technologies, advanced transportation, water/energy nexus, agriculture/energy, and advanced manufacturing.